

# CITY OF OCEANSIDE



## TREASURER'S REPORT

*FOR MONTH & QUARTER ENDED  
SEPTEMBER 30, 2014*



# CITY OF OCEANSIDE

## OFFICE OF THE CITY TREASURER

TO: CITY COUNCIL  
CITY MANAGER

DATE: OCTOBER 28, 2014

SUBJECT: INVESTMENT REPORT FOR THE MONTH & QUARTER ENDED SEPTEMBER 30, 2014

The following is summary information for the City of Oceanside Investment Portfolio for the month & quarter ended September 30, 2014.

### Market/Portfolio Commentary

During the first quarter of FY 2014-2015, the yield curve flattened in the long end, with the 30-year bond interest rate lower by 20 basis points (.20 percent), and the belly of the curve (2, 3 and 5-year sectors) increased by as much as 15 basis points (.15 percent). The domestic economy posted stronger than expected data during the quarter, notably in the labor market, one of two key areas the Federal Open Market Committee (FOMC) is monitoring to provide guidance as to when to begin raising the federal funds rate. Since the economy is moving closer to the meeting the FOMC's objectives of full employment and price stability, market analysts are predicting an increase in rates by the FOMC by the second calendar quarter of 2015. Sustainable upward pressure in interest rates has been tempered, however, by geopolitical tensions in the Ukraine and Iraq and by a sluggish European economy.

The FOMC met twice during the quarter and decided to continue the planned decrease in monthly asset purchases by \$10 billion at each of the meetings, bringing the monthly purchase levels to \$10 billion in Treasuries and \$5 billion in mortgage-backed securities. At the September FOMC meeting, the Committee announced plans to end the asset purchase program in October, which would conclude the quantitative easing program used to support economic growth.

The book value of the portfolio declined by \$13.6 million comparative to the end of the prior fiscal year. During the first quarter of a fiscal year, expenditures outpace revenues until the first installment of property taxes in December are received; therefore portfolio liquidity is used to manage daily cash outflows. Cash balances in LAIF used to meet first quarter expenditures and invest surplus liquidity in higher yielding securities. As a result of reducing LAIF balances and investing farther out the curve, yield to maturity increased 9 basis points (.09%) since the June 2014 fiscal year end.

Portfolio Statistics

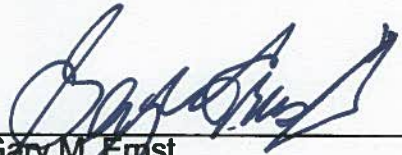
For month & quarter ended September 30, 2014, portfolio statistics are as follows:

- Portfolio Book Value \$274,618,302.40
- Portfolio Market Value \$273,133,915.13
- Unrealized Gain/(Loss) (\$1,484,387.27)
- Average Yield-to-Maturity 1.034%
- Liquidity (0 – 6-months) 8.11%
- Average Days to Maturity 1,068 (2.93 years)
- Fiscal Year-to-Date Earnings \$668,796.97
- Fiscal Year-to-Date Capital Gains/(Losses) \$5,048.42

The unrealized losses the portfolio are experiencing is the result of a rapidly rising interest rate environment. When interest rates rise, market values in a fixed income portfolio decline. As long as the portfolio securities are held to maturity, the City will not incur any actual losses.

In compliance with the California Code Section 53646, I hereby certify that sufficient investment liquidity and anticipated revenues are available to meet the City's budgeted expenditure requirements for the next six months. I also certify that investments in the City's portfolio meet the requirements of the City of Oceanside's adopted investment policy and the California Government Code section 53601. Market Prices are obtained from Bank of New York and the State Treasurer's Office (LAIF).

Respectfully submitted,

  
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Gary M. Ernst  
City Treasurer

**CITY OF OCEANSIDE  
PORTFOLIO SUMMARY  
FOR MONTH & QUARTER ENDED SEPTEMBER 30, 2014**

INVESTMENT TYPE	FACE VALUE	BOOK VALUE	MARKET VALUE	YIELD TO MATURITY	DAYS TO MATURITY	PERCENT OF PORTFOLIO
<b>Month &amp; Quarter Ended September 30, 2014</b>						
LAIF	\$ 18,250,000.00	\$ 18,250,000.00	\$ 18,253,308.43	0.246%	1	6.65%
Treasury Securities-Coupon	6,000,000.00	5,961,135.86	5,928,902.50	1.218%	1,363	2.17%
Federal Agency Non - Callable	17,000,000.00	16,990,539.49	16,877,015.00	0.993%	1,131	6.19%
Federal Agency - Callable	174,970,000.00	174,963,039.10	173,823,324.45	1.077%	1,154	63.71%
Federal Agency Callable - Step Up	34,100,000.00	34,098,867.79	33,913,556.25	1.347%	1,452	12.42%
Medium Term Notes	17,400,000.00	17,573,589.35	17,552,313.00	0.853%	606	6.40%
Municipal Bonds	6,750,000.00	6,781,130.81	6,785,495.50	0.906%	561	2.47%
<b>Total Investments</b>	<b>\$ 274,470,000.00</b>	<b>\$ 274,618,302.40</b>	<b>\$ 273,133,915.13</b>	<b>1.034%</b>	<b>1,068</b>	<b>100.00%</b>
<b>Unrealized Gain/(Loss) (Market Value - Book Value)</b>			(1,484,387.27)			
<b>Plus Accrued Interest</b>			<u>\$ 653,114.47</u>			
<b>Portfolio Liquidation Unrealized Gain/(Loss)</b>			<u>\$ (831,272.80)</u>			

**Prior Period Comparisons**

INVESTMENT TYPE	FACE VALUE	BOOK VALUE	MARKET VALUE	YIELD TO MATURITY	DAYS TO MATURITY	PERCENT OF PORTFOLIO
<b>Month, Quarter &amp; Year Ended June 30, 2014</b>						
LAIF	\$ 46,500,000.00	\$ 46,500,000.00	\$ 46,513,891.88	0.228%	1	16.13%
Treasury Securities-Coupon	4,000,000.00	3,973,819.92	3,953,592.50	0.937%	1,239	1.38%
Federal Agency Non - Callable	13,000,000.00	12,989,882.42	12,960,080.00	0.990%	1,218	4.51%
Federal Agency - Callable	168,900,000.00	168,889,309.15	168,162,821.95	1.048%	1,225	58.60%
Federal Agency Callable - Step Up	28,000,000.00	28,000,000.00	27,884,175.00	1.346%	1,429	9.71%
Medium Term Notes	15,900,000.00	16,097,405.53	16,118,043.00	0.820%	660	5.58%
Municipal Bonds	11,735,000.00	11,780,510.77	11,798,586.20	1.441%	391	4.09%
<b>Total Investments</b>	<b>\$ 288,035,000.00</b>	<b>\$ 288,230,927.79</b>	<b>\$ 287,391,190.53</b>	<b>0.944%</b>	<b>982</b>	<b>100.00%</b>
<b>Unrealized Gain/(Loss) (Market Value - Book Value)</b>			(839,737.26)			
<b>Plus Accrued Interest</b>			<u>586,262.69</u>			
<b>Portfolio Liquidation Unrealized Gain/(Loss)</b>			<u>\$ (253,474.57)</u>			
<b>Month &amp; Quarter Ended March 31, 2014</b>						
LAIF	\$ 24,750,000.00	\$ 24,750,000.00	\$ 24,757,848.67	2.360%	1	9.50%
Federal Agency Non - Callable	4,000,000.00	3,958,827.25	3,917,340.00	1.302%	1,642	1.52%
Federal Agency - Callable	14,000,000.00	13,983,602.92	13,845,275.00	0.985%	1,295	5.37%
Medium Term Notes	159,900,000.00	159,883,571.88	158,043,760.75	1.003%	1,298	61.38%
Federal Agency - Step Up	30,000,000.00	29,991,346.15	29,707,522.50	1.222%	1,519	11.51%
Medium Term Notes	13,900,000.00	14,113,442.26	14,121,119.00	0.791%	699	5.42%
Municipal Bonds	13,735,000.00	13,798,873.94	13,832,335.45	1.326%	421	5.30%
<b>Total Investments</b>	<b>\$ 260,285,000.00</b>	<b>\$ 260,479,664.40</b>	<b>\$ 258,225,201.37</b>	<b>0.964%</b>	<b>1,127</b>	<b>100.00%</b>
<b>Unrealized Gain/(Loss) (Market Value - Book Value)</b>			(2,254,463.03)			
<b>Plus Accrued Interest</b>			<u>537,031.39</u>			
<b>Portfolio Liquidation Unrealized Gain/(Loss)</b>			<u>\$ (1,717,431.64)</u>			

Market prices used in calculating market values were obtained from Bank of New York and State Treasurer's Office (LAIF).

**CITY OF OCEANSIDE  
INTEREST EARNINGS SUMMARY  
September 30, 2014**

	<b>Month Ending <u>September 30, 2014</u></b>	<b>Fiscal <u>Year To Date</u></b>
CD/Coupon/Discount Investments:		
Interest Collected	\$ 192,690.28	\$ 611,349.51
PLUS Accrued Interest at End of Period	629,870.54	629,870.54
LESS Accrued Interest at Beginning of Period	<u>(591,741.60)</u>	<u>(566,860.52)</u>
Interest Earned during Period	<u>\$ 230,819.22</u>	<u>\$ 674,359.53</u>
ADJUSTED by Premiums and Discounts	(8,509.83)	(29,196.51)
ADJUSTED by Capital Gains or Losses	<u>0.00</u>	<u>5,048.42</u>
Earnings during Period	<u>\$ 222,309.39</u>	<u>\$ 650,211.44</u>
Local Agency Investment Fund (L.A.I.F.):		
Interest Collected	\$ -	\$ 20,643.80
PLUS Accrued Interest at End of Period	23,243.96	23,243.96
LESS Accrued Interest at Beginning of Period	<u>(19,007.39)</u>	<u>(24,336.69)</u>
Interest Earned during Period	<u>\$ 4,236.57</u>	<u>\$ 19,551.07</u>
TOTAL Interest Earned during Period	\$ 235,055.79	\$ 693,910.60
TOTAL Premiums and Discounts	(8,509.83)	(29,196.51)
TOTAL Capital Gains or Losses	<u>0.00</u>	<u>5,048.42</u>
SUB-TOTAL Earnings during Period	\$ 226,545.96	\$ 669,762.51
Earnings Adjustments	<u>-</u>	<u>(965.54)</u>
TOTAL Earnings during Period	<u>\$ 226,545.96</u>	<u>\$ 668,796.97</u>

# CITY OF OCEANSIDE



## PORTFOLIO DETAILS

*AS OF SEPTEMBER 30, 2014*

**Oceanside Quarterly Report  
Portfolio Management  
Portfolio Details - Investments  
September 30, 2014**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM	Days to Maturity	Maturity Date
<b>LAIF</b>												
SYS18000	18000	Local Agency Investment Fund			8,000,000.00	8,001,450.27	8,000,000.00	0.246		0.246	1	
SYS18001	18001	Local Agency Investment Fund			10,250,000.00	10,251,858.16	10,250,000.00	0.246		0.246	1	
<b>Subtotal and Average</b>			<b>32,255,434.78</b>		<b>18,250,000.00</b>	<b>18,253,308.43</b>	<b>18,250,000.00</b>			<b>0.246</b>	<b>1</b>	
<b>Treasury Securities - Coupon</b>												
912828WP1	14-0077	Industrial Bank Of Japan NY		06/18/2014	2,000,000.00	1,995,312.50	1,994,350.41	0.875		0.981	988	06/15/2017
912828UZ1	13-0123	Industrial Bank Of Japan NY		05/23/2013	2,000,000.00	1,947,340.00	1,981,311.10	0.625		0.892	1,307	04/30/2018
912828D80	15-0020	U.S. Treasury Note		09/11/2014	2,000,000.00	1,986,250.00	1,985,474.35	1.625		1.780	1,795	08/31/2019
<b>Subtotal and Average</b>			<b>4,514,588.45</b>		<b>6,000,000.00</b>	<b>5,928,902.50</b>	<b>5,961,135.86</b>			<b>1.218</b>	<b>1,363</b>	
<b>Federal Agency - Non Callable</b>												
3134G3S50	13-0036	Federal Home Loan Mort Corp		10/18/2012	2,000,000.00	1,994,420.00	2,000,000.00	0.625		0.625	762	11/01/2016
3136G0YE5	13-0006	Federal National Mortgage Assc		08/28/2012	2,000,000.00	1,993,200.00	2,000,000.00	1.000		1.000	1,062	08/28/2017
313383JB8	13-0132	Federal Home Loan Bank		06/27/2013	2,000,000.00	1,988,280.00	2,000,000.00	1.000		1.000	1,092	09/27/2017
3133ECBX1	13-0068	Federal Farm Credit Bank		12/28/2012	1,000,000.00	993,300.00	1,000,000.00	0.820		0.820	1,093	09/28/2017
3133ECBX1	13-0069	Federal Farm Credit Bank		12/28/2012	1,000,000.00	993,300.00	1,000,000.00	0.820		0.820	1,093	09/28/2017
3137EADL0	14-0052	Federal Home Loan Mort Corp		03/07/2014	1,000,000.00	995,490.00	999,260.03	1.000		1.025	1,094	09/29/2017
3133EDDV1	14-0033	Federal Farm Credit Bank		01/23/2014	1,000,000.00	1,004,375.00	1,000,000.00	1.160		1.160	1,118	10/23/2017
3134G32W9	14-0082	Federal Home Loan Mort Corp		06/25/2014	1,000,000.00	1,000,840.00	1,000,628.23	1.250		1.230	1,182	12/26/2017
3135G0UE1	13-0088	Federal National Mortgage Assc		02/14/2013	2,000,000.00	1,972,780.00	2,000,000.00	1.000		1.008	1,232	02/14/2018
3135G0WJ8	13-0122	Federal National Mortgage Assc		05/23/2013	2,000,000.00	1,958,720.00	1,988,677.75	0.875		1.035	1,328	05/21/2018
313379DT3	13-0126	Federal Home Loan Bank		06/10/2013	1,000,000.00	991,100.00	1,003,225.24	1.250		1.160	1,346	06/08/2018
3133EDGW6	14-0053	Federal Farm Credit Bank		03/11/2014	1,000,000.00	991,210.00	998,748.24	1.300		1.335	1,349	06/11/2018
<b>Subtotal and Average</b>			<b>16,990,214.53</b>		<b>17,000,000.00</b>	<b>16,877,015.00</b>	<b>16,990,539.49</b>			<b>0.993</b>	<b>1,131</b>	
<b>Federal Agency - Callable</b>												
3133EAU22	13-0024	Federal Farm Credit Bank		09/12/2012	1,000,000.00	997,720.00	1,000,000.00	0.680		0.685	712	09/12/2016
3133EAZ76	13-0033	Federal Farm Credit Bank		09/26/2012	1,000,000.00	998,700.00	1,000,000.00	0.690		0.690	726	09/26/2016
3134G3P38	13-0031	Federal Home Loan Mort Corp		10/05/2012	1,000,000.00	998,950.00	1,000,000.00	0.750		0.750	735	10/05/2016
3133EA5V6	13-0038	Federal Farm Credit Bank		10/24/2012	1,000,000.00	993,490.00	1,000,000.00	0.620		0.620	754	10/24/2016
3133EA5V6	13-0039	Federal Farm Credit Bank		10/24/2012	1,000,000.00	993,490.00	1,000,000.00	0.620		0.620	754	10/24/2016
3133EA5W4	13-0050	Federal Farm Credit Bank		12/05/2012	1,000,000.00	996,020.00	1,000,000.00	0.650		0.657	754	10/24/2016
3133EC5B6	13-0052	Federal Farm Credit Bank		12/05/2012	1,000,000.00	997,850.00	1,000,000.00	0.640		0.646	790	11/29/2016
3133EC6S8	13-0059	Federal Farm Credit Bank		12/12/2012	1,000,000.00	996,660.00	1,000,000.00	0.600		0.606	803	12/12/2016
3135G0UA9	13-0084	Federal National Mortgage Assc		02/06/2013	2,000,000.00	1,988,620.00	2,000,000.00	0.750		0.750	859	02/06/2017
3135G0XL2	13-0127	Federal National Mortgage Assc		06/13/2013	2,000,000.00	1,986,320.00	2,000,000.00	0.650		1.050	880	02/27/2017
3133EAW46	13-0029	Federal Farm Credit Bank		09/17/2012	1,000,000.00	993,720.00	1,000,000.00	0.790		0.790	898	03/17/2017
3130A1AW8	14-0056	Federal Home Loan Bank		03/27/2014	2,075,000.00	2,076,452.50	2,075,000.00	1.000		1.000	908	03/27/2017

**Oceanside Quarterly Report  
Portfolio Management  
Portfolio Details - Investments  
September 30, 2014**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM	Days to Maturity	Maturity Date
<b>Federal Agency - Callable</b>												
3130A1FT0	14-0069	Federal Home Loan Bank		04/17/2014	1,000,000.00	999,540.00	999,363.89	1.050		1.075	929	04/17/2017
3133ECMZ4	13-0098	Federal Farm Credit Bank		05/01/2013	1,000,000.00	997,260.00	1,000,000.00	0.690		0.703	943	05/01/2017
3133812G9	13-0037	Federal Home Loan Bank		11/08/2012	1,000,000.00	989,780.00	1,000,000.00	0.740		0.740	950	05/08/2017
3136G1WV7	14-0011	Federal National Mortgage Assc		11/15/2013	1,000,000.00	999,310.00	1,000,000.00	1.000		1.000	957	05/15/2017
3136G1WV7	14-0012	Federal National Mortgage Assc		11/15/2013	1,000,000.00	999,310.00	1,000,000.00	1.000		1.000	957	05/15/2017
3136G1XF1	14-0018	Federal National Mortgage Assc		11/27/2013	1,000,000.00	998,180.00	1,000,000.00	1.000		1.000	964	05/22/2017
313381AN5	13-0046	Federal Home Loan Bank		11/23/2012	1,550,000.00	1,537,956.50	1,550,000.00	0.800		0.800	965	05/23/2017
3133EC5L4	13-0054	Federal Farm Credit Bank		12/05/2012	2,000,000.00	1,986,100.00	2,000,000.00	0.770		0.780	978	06/05/2017
3133ECR30	13-0124	Federal Farm Credit Bank		06/05/2013	2,000,000.00	1,997,020.00	2,000,000.00	0.960		0.960	978	06/05/2017
3134G4MD7	14-0020	Federal Home Loan Mort Corp		12/19/2013	1,000,000.00	997,050.00	1,000,000.00	1.000		1.000	992	06/19/2017
313383JK8	13-0135	Federal Home Loan Bank		06/27/2013	1,200,000.00	1,198,968.00	1,200,000.00	1.000		0.000	1,000	06/27/2017
3134G32S8	13-0060	Federal Home Loan Mort Corp		12/27/2012	1,000,000.00	991,150.00	1,000,000.00	0.750		0.750	1,000	06/27/2017
3134G4PB8	14-0022	Federal Home Loan Mort Corp		12/27/2013	1,000,000.00	996,730.00	1,000,000.00	1.000		1.000	1,000	06/27/2017
3134G4PB8	14-0024	Federal Home Loan Mort Corp		12/27/2013	1,000,000.00	996,730.00	1,000,000.00	1.000		1.000	1,000	06/27/2017
3134G57G4	14-0080	Federal Home Loan Mort Corp		06/30/2014	2,000,000.00	1,992,960.00	2,000,000.00	1.020		1.037	1,003	06/30/2017
3133EA4H8	13-0040	Federal Farm Credit Bank		11/08/2012	2,000,000.00	1,987,120.00	2,000,000.00	0.820		0.820	1,014	07/11/2017
3134G4Z50	14-0064	Federal Home Loan Mort Corp		04/17/2014	1,000,000.00	995,450.00	1,000,000.00	1.000		1.085	1,020	07/17/2017
3134G4TS7	14-0034	Federal Home Loan Mort Corp		01/28/2014	1,000,000.00	1,003,320.00	1,000,000.00	1.150		1.150	1,031	07/28/2017
3134G5DK8	15-0007	Federal Home Loan Mort Corp		07/28/2014	1,000,000.00	997,070.00	1,000,000.00	1.000		1.000	1,031	07/28/2017
3136G1BT5	13-0080	Federal National Mortgage Assc		02/07/2013	2,000,000.00	1,981,920.00	2,000,000.00	0.850		0.850	1,041	08/07/2017
3136G1BT5	13-0086	Federal National Mortgage Assc		02/07/2013	1,000,000.00	990,960.00	1,000,000.00	0.850		0.856	1,041	08/07/2017
3136G1BT5	14-0014	Federal National Mortgage Assc		11/14/2013	1,000,000.00	990,960.00	1,000,000.00	0.850		1.140	1,041	08/07/2017
3135G0MW0	14-0007	Federal National Mortgage Assc		10/03/2013	1,000,000.00	996,320.00	1,000,000.00	1.050		1.290	1,043	08/09/2017
3135G0NC3	13-0008	Federal National Mortgage Assc		08/16/2012	1,000,000.00	995,730.00	1,000,000.00	1.000		1.057	1,048	08/14/2017
313381Z24	13-0091	Federal Home Loan Bank		02/15/2013	1,000,000.00	992,330.00	1,000,000.00	0.850		0.850	1,049	08/15/2017
3133EC3G7	13-0049	Federal Farm Credit Bank		12/03/2012	2,000,000.00	1,983,020.00	2,000,000.00	0.800		0.820	1,055	08/21/2017
3133EAP28	13-0010	Federal Farm Credit Bank		08/22/2012	2,000,000.00	1,991,220.00	2,000,000.00	1.100		1.100	1,056	08/22/2017
3133EDTR3	15-0018	Federal Farm Credit Bank		08/28/2014	1,000,000.00	998,125.00	999,545.83	1.090		1.107	1,062	08/28/2017
3135G0NR0	13-0005	Federal National Mortgage Assc		08/28/2012	2,000,000.00	1,994,820.00	2,000,000.00	1.100		1.100	1,062	08/28/2017
3136G1MQ9	13-0109	Federal National Mortgage Assc		05/28/2013	1,000,000.00	987,040.00	1,000,000.00	0.750		0.750	1,062	08/28/2017
313380LL9	13-0014	Federal Home Loan Bank		09/06/2012	1,000,000.00	997,630.00	1,000,000.00	1.080		1.080	1,071	09/06/2017
313380LL9	13-0015	Federal Home Loan Bank		09/06/2012	1,000,000.00	997,630.00	1,000,000.00	1.080		1.080	1,071	09/06/2017
313380MP9	13-0028	Federal Home Loan Bank		09/13/2012	1,000,000.00	991,750.00	1,000,000.00	0.980		1.001	1,076	09/11/2017
3133ECA95	13-0063	Federal Farm Credit Bank		12/18/2012	2,000,000.00	1,980,920.00	2,000,000.00	0.790		0.795	1,083	09/18/2017
3136G25T0	15-0023	Federal National Mortgage Assc		09/18/2014	1,000,000.00	996,980.00	1,000,000.00	1.150		1.150	1,083	09/18/2017
3133EDCJ9	14-0026	Federal Farm Credit Bank		12/26/2013	1,000,000.00	999,300.00	1,000,000.00	1.180		1.195	1,091	09/26/2017
3130A14K1	14-0050	Federal Home Loan Bank		03/26/2014	1,000,000.00	998,125.00	1,000,000.00	1.050		1.050	1,091	09/26/2017
3134G3J43	13-0021	Federal Home Loan Mort Corp		09/27/2012	1,000,000.00	992,070.00	1,000,000.00	1.040		1.040	1,092	09/27/2017

**Oceanside Quarterly Report  
Portfolio Management  
Portfolio Details - Investments  
September 30, 2014**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM	Days to Maturity	Maturity Date
<b>Federal Agency - Callable</b>												
3134G4PK8	14-0025	Federal Home Loan Mort Corp		12/27/2013	1,000,000.00	994,920.00	1,000,000.00	1.150		1.147	1,092	09/27/2017
3135G0PD9	13-0020	Federal National Mortgage Assc		09/27/2012	2,000,000.00	1,998,660.00	2,000,000.00	1.070		1.070	1,092	09/27/2017
3136G0B26	13-0027	Federal National Mortgage Assc		09/27/2012	1,000,000.00	992,150.00	1,000,000.00	1.000		1.000	1,092	09/27/2017
3130A1H35	14-0065	Federal Home Loan Bank		04/17/2014	1,000,000.00	1,000,520.00	1,000,000.00	1.350		1.350	1,112	10/17/2017
3134G4ZU5	14-0067	Federal Home Loan Mort Corp		04/17/2014	2,000,000.00	1,998,260.00	2,000,000.00	1.250		1.250	1,112	10/17/2017
3133EA5A2	13-0035	Federal Farm Credit Bank		10/18/2012	1,000,000.00	986,940.00	1,000,000.00	0.870		0.880	1,113	10/18/2017
313381WB7	13-0085	Federal Home Loan Bank		02/07/2013	1,000,000.00	989,310.00	1,000,000.00	0.875		0.902	1,133	11/07/2017
3133813R4	13-0053	Federal Home Loan Bank		12/05/2012	2,000,000.00	1,980,800.00	2,000,000.00	1.000		0.979	1,135	11/09/2017
3133813R4	13-0065	Federal Home Loan Bank		12/19/2012	2,000,000.00	1,980,800.00	2,000,000.00	1.000		1.000	1,135	11/09/2017
3133EC2M5	13-0041	Federal Farm Credit Bank		11/13/2012	1,000,000.00	995,210.00	1,000,000.00	0.940		0.940	1,139	11/13/2017
3133EC2M5	13-0042	Federal Farm Credit Bank		11/13/2012	1,000,000.00	995,210.00	1,000,000.00	0.940		0.940	1,139	11/13/2017
3133ED7B2	14-0013	Federal Farm Credit Bank		11/14/2013	1,000,000.00	1,001,320.00	999,953.20	1.300		1.310	1,139	11/13/2017
3133817D1	13-0044	Federal Home Loan Bank		11/15/2012	1,000,000.00	991,840.00	1,000,000.00	0.980		0.980	1,141	11/15/2017
3133EC2Y9	13-0047	Federal Farm Credit Bank		11/20/2012	1,000,000.00	993,410.00	1,000,000.00	0.850		0.850	1,146	11/20/2017
3133EC2Y9	13-0051	Federal Farm Credit Bank		12/05/2012	1,445,000.00	1,435,477.45	1,445,000.00	0.850		0.850	1,146	11/20/2017
3133EDA69	14-0017	Federal Farm Credit Bank		11/20/2013	1,000,000.00	998,790.00	1,000,000.00	1.330		1.330	1,146	11/20/2017
3130A0B90	14-0015	Federal Home Loan Bank		11/20/2013	1,000,000.00	999,520.00	1,000,000.00	1.200		1.200	1,146	11/20/2017
3130A0B90	14-0016	Federal Home Loan Bank		11/20/2013	1,000,000.00	999,520.00	1,000,000.00	1.200		1.200	1,146	11/20/2017
3136G23N5	15-0014	Federal National Mortgage Assc		08/22/2014	2,000,000.00	1,992,200.00	2,000,000.00	1.200		1.200	1,148	11/22/2017
3134G3Y38	13-0048	Federal Home Loan Mort Corp		11/27/2012	2,000,000.00	1,971,250.00	2,000,000.00	0.900		0.900	1,153	11/27/2017
3133EC7A6	13-0057	Federal Farm Credit Bank		12/12/2012	1,000,000.00	990,460.00	1,000,000.00	0.820		0.820	1,168	12/12/2017
3133EC7A6	13-0058	Federal Farm Credit Bank		12/12/2012	1,000,000.00	990,460.00	1,000,000.00	0.820		0.845	1,168	12/12/2017
3133EDC26	14-0028	Federal Farm Credit Bank		12/26/2013	1,000,000.00	994,170.00	999,302.19	1.270		1.353	1,174	12/18/2017
3130A14J4	14-0049	Federal Home Loan Bank		03/26/2014	1,000,000.00	994,750.00	1,000,000.00	1.150		1.150	1,182	12/26/2017
3130A2D78	14-0079	Federal Home Loan Bank		06/26/2014	1,000,000.00	996,730.00	1,000,000.00	1.300		1.300	1,182	12/26/2017
313381K95	13-0064	Federal Home Loan Bank		12/28/2012	2,000,000.00	1,970,200.00	2,000,000.00	0.840		0.840	1,184	12/28/2017
3134G32Y5	13-0067	Federal Home Loan Mort Corp		12/28/2012	2,000,000.00	1,973,780.00	2,000,000.00	0.900		0.931	1,184	12/28/2017
3134G5AH8	14-0081	Federal Home Loan Mort Corp		06/30/2014	1,000,000.00	998,900.00	1,000,000.00	1.250		1.250	1,185	12/29/2017
3130A0GC8	14-0027	Federal Home Loan Bank		01/09/2014	1,000,000.00	995,730.00	1,000,000.00	1.250		1.400	1,196	01/09/2018
3134G33B4	13-0070	Federal Home Loan Mort Corp		01/14/2013	1,000,000.00	988,720.00	1,000,000.00	1.000		1.010	1,198	01/11/2018
3130A2KB1	15-0003	Federal Home Loan Bank		07/16/2014	2,000,000.00	1,994,760.00	2,000,000.00	1.350		1.350	1,203	01/16/2018
313381QE8	13-0071	Federal Home Loan Bank		01/22/2013	2,000,000.00	1,982,020.00	2,000,000.00	0.950		0.981	1,209	01/22/2018
3130A1XP8	14-0073	Federal Home Loan Bank		05/22/2014	1,000,000.00	998,125.00	1,000,000.00	1.300		1.300	1,209	01/22/2018
3134G33Y4	13-0073	Federal Home Loan Mort Corp		01/22/2013	2,000,000.00	1,975,180.00	1,999,537.50	0.950		0.981	1,209	01/22/2018
313381SP1	13-0074	Federal Home Loan Bank		01/30/2013	2,000,000.00	1,995,080.00	2,000,000.00	1.050		1.050	1,217	01/30/2018
313381UJ2	13-0075	Federal Home Loan Bank		01/30/2013	1,000,000.00	990,100.00	1,000,000.00	1.020		1.020	1,217	01/30/2018
3136G1AZ2	13-0072	Federal National Mortgage Assc		01/30/2013	2,000,000.00	1,970,240.00	2,000,000.00	1.000		1.000	1,217	01/30/2018
3135G0TV5	13-0076	Federal National Mortgage Assc		01/30/2013	1,000,000.00	986,520.00	1,000,000.00	1.030		1.030	1,217	01/30/2018

**Oceanside Quarterly Report  
Portfolio Management  
Portfolio Details - Investments  
September 30, 2014**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM	Days to Maturity	Maturity Date
<b>Federal Agency - Callable</b>												
3135G0TV5	13-0079	Federal National Mortgage Assc		01/30/2013	2,000,000.00	1,973,040.00	2,000,000.00	1.030		1.030	1,217	01/30/2018
3136G1BK4	13-0078	Federal National Mortgage Assc		02/05/2013	1,000,000.00	985,870.00	1,000,000.00	1.000		1.000	1,223	02/05/2018
3133ECEQ3	13-0083	Federal Farm Credit Bank		02/06/2013	1,000,000.00	996,093.75	1,000,000.00	1.100		1.100	1,224	02/06/2018
3134G34Y3	13-0089	Federal Home Loan Mort Corp		02/14/2013	1,000,000.00	987,730.00	1,000,000.00	1.000		1.005	1,232	02/14/2018
3135G0UD3	13-0090	Federal National Mortgage Assc		02/14/2013	1,000,000.00	987,850.00	1,000,000.00	1.010		1.010	1,232	02/14/2018
3135G0UD3	14-0019	Federal National Mortgage Assc		11/20/2013	1,450,000.00	1,432,382.50	1,450,000.00	1.010		1.272	1,232	02/14/2018
3136G23B1	15-0010	Federal National Mortgage Assc		08/15/2014	1,250,000.00	1,242,875.00	1,250,000.00	1.300		1.300	1,233	02/15/2018
3134G5EZ4	15-0013	Federal Home Loan Mort Corp		08/20/2014	2,000,000.00	1,993,060.00	2,000,000.00	1.400		1.400	1,238	02/20/2018
3130A0XC9	14-0041	Federal Home Loan Bank		02/26/2014	1,000,000.00	1,001,210.00	1,000,000.00	1.300		1.300	1,244	02/26/2018
3130A0XC9	14-0042	Federal Home Loan Bank		02/26/2014	1,000,000.00	1,001,210.00	1,000,000.00	1.300		1.300	1,244	02/26/2018
3136G26E2	15-0022	Federal National Mortgage Assc		09/23/2014	1,000,000.00	994,580.00	1,000,000.00	1.400		1.400	1,269	03/23/2018
3130A1AG3	14-0062	Federal Home Loan Bank		03/27/2014	1,000,000.00	996,120.00	1,000,000.00	1.400		1.413	1,273	03/27/2018
3134G4XK9	14-0057	Federal Home Loan Mort Corp		03/27/2014	1,000,000.00	998,870.00	1,000,000.00	1.300		1.300	1,273	03/27/2018
3134G4XK9	14-0058	Federal Home Loan Mort Corp		03/27/2014	1,000,000.00	998,870.00	1,000,000.00	1.300		1.300	1,273	03/27/2018
3134G4Z43	14-0063	Federal Home Loan Mort Corp		04/17/2014	2,000,000.00	2,002,280.00	2,000,000.00	1.375		1.375	1,294	04/17/2018
3134G4ZR2	14-0066	Federal Home Loan Mort Corp		04/17/2014	1,000,000.00	1,001,950.00	1,000,000.00	1.500		1.500	1,294	04/17/2018
3133ECMF8	13-0097	Federal Farm Credit Bank		04/30/2013	2,000,000.00	1,980,440.00	2,000,000.00	0.980		0.980	1,301	04/24/2018
3134G42G2	13-0121	Federal Home Loan Mort Corp		05/23/2013	2,000,000.00	1,969,720.00	2,000,000.00	1.050		1.050	1,307	04/30/2018
3136G1KD0	13-0102	Federal National Mortgage Assc		05/15/2013	2,000,000.00	1,969,280.00	2,000,000.00	1.000		1.020	1,307	04/30/2018
3133ECNY6	13-0100	Federal Farm Credit Bank		05/08/2013	1,000,000.00	987,060.00	1,000,000.00	0.950		0.950	1,315	05/08/2018
3133ECNY6	13-0101	Federal Farm Credit Bank		05/08/2013	1,000,000.00	987,060.00	1,000,000.00	0.950		0.950	1,315	05/08/2018
3136G1LE7	13-0099	Federal National Mortgage Assc		05/15/2013	1,000,000.00	980,490.00	1,000,000.00	1.010		1.010	1,322	05/15/2018
3133ECQ56	13-0104	Federal Farm Credit Bank		05/22/2013	2,000,000.00	1,974,540.00	2,000,000.00	1.080		1.083	1,329	05/22/2018
3133ECQ56	13-0105	Federal Farm Credit Bank		05/22/2013	2,000,000.00	1,974,540.00	2,000,000.00	1.080		1.080	1,329	05/22/2018
3134G55C5	14-0075	Federal Home Loan Mort Corp		05/28/2014	2,000,000.00	1,996,300.00	2,000,000.00	1.500		1.500	1,332	05/25/2018
3135G0XK4	13-0111	Federal National Mortgage Assc		05/30/2013	1,000,000.00	985,420.00	1,000,000.00	1.050		1.069	1,332	05/25/2018
3135G0XK4	13-0112	Federal National Mortgage Assc		05/30/2013	2,000,000.00	1,970,840.00	2,000,000.00	1.050		1.050	1,332	05/25/2018
3133837A3	13-0115	Federal Home Loan Bank		06/13/2013	1,000,000.00	984,960.00	1,000,000.00	1.100		1.100	1,351	06/13/2018
3133837A3	13-0116	Federal Home Loan Bank		06/13/2013	1,000,000.00	984,960.00	1,000,000.00	1.100		1.100	1,351	06/13/2018
3133837A3	13-0117	Federal Home Loan Bank		06/13/2013	2,000,000.00	1,969,920.00	2,000,000.00	1.100		1.100	1,351	06/13/2018
313383BD2	13-0119	Federal Home Loan Bank		06/13/2013	1,000,000.00	979,300.00	1,000,000.00	1.100		1.100	1,351	06/13/2018
3130A15C8	14-0046	Federal Home Loan Bank		03/20/2014	2,000,000.00	1,994,660.00	2,000,000.00	1.450		1.450	1,358	06/20/2018
3133EDP97	14-0083	Federal Farm Credit Bank		06/26/2014	2,000,000.00	1,990,340.00	2,000,000.00	1.500		1.500	1,364	06/26/2018
3134G4A99	13-0128	Federal Home Loan Mort Corp		06/26/2013	2,000,000.00	1,990,200.00	2,000,000.00	1.300		1.300	1,364	06/26/2018
3134G4A99	13-0129	Federal Home Loan Mort Corp		06/26/2013	2,000,000.00	1,990,200.00	1,996,319.44	1.300		1.404	1,364	06/26/2018
3134G46X1	13-0131	Federal Home Loan Mort Corp		06/27/2013	2,000,000.00	1,985,760.00	2,000,000.00	1.250		1.307	1,365	06/27/2018
3134G4AE8	13-0134	Federal Home Loan Mort Corp		06/27/2013	1,000,000.00	993,500.00	1,000,000.00	1.400		1.400	1,365	06/27/2018
3133EDQZ8	15-0006	Federal Farm Credit Bank		07/25/2014	1,000,000.00	996,406.25	999,469.83	1.520		1.537	1,391	07/23/2018

**Oceanside Quarterly Report**  
**Portfolio Management**  
**Portfolio Details - Investments**  
**September 30, 2014**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM	Days to Maturity	Maturity Date
<b>Federal Agency - Callable</b>												
3136G22Z9	15-0011	Federal National Mortgage Assc		08/15/2014	1,000,000.00	989,440.00	1,000,000.00	1.500		1.500	1,414	08/15/2018
3133EDTQ5	15-0017	Federal Farm Credit Bank		08/27/2014	1,000,000.00	994,980.00	999,547.22	1.590		1.603	1,426	08/27/2018
3134G55J0	14-0074	Federal Home Loan Mort Corp		05/28/2014	1,000,000.00	997,187.50	1,000,000.00	1.670		1.664	1,427	08/28/2018
3136G23H8	15-0012	Federal National Mortgage Assc		08/15/2014	1,000,000.00	992,890.00	1,000,000.00	1.600		1.600	1,506	11/15/2018
3136G24A2	15-0015	Federal National Mortgage Assc		08/26/2014	2,000,000.00	2,001,060.00	2,000,000.00	2.000		2.000	1,790	08/26/2019
<b>Subtotal and Average</b>			<b>168,434,467.42</b>		<b>174,970,000.00</b>	<b>173,823,324.45</b>	<b>174,963,039.10</b>			<b>1.077</b>	<b>1,154</b>	
<b>Federal Agency Callable - Step Up</b>												
3136G0B59	13-0019	Federal National Mortgage Assc		09/20/2012	1,000,000.00	998,160.00	1,000,000.00	0.700		1.095	1,085	09/20/2017
3136G03G4	13-0043	Federal National Mortgage Assc		11/15/2012	1,000,000.00	993,640.00	1,000,000.00	0.700		0.976	1,141	11/15/2017
3136G1C23	13-0087	Federal National Mortgage Assc		02/13/2013	2,000,000.00	1,993,000.00	2,000,000.00	0.800		0.811	1,231	02/13/2018
3136G1CQ0	13-0092	Federal National Mortgage Assc		02/20/2013	1,000,000.00	991,220.00	1,000,000.00	0.750		1.152	1,238	02/20/2018
3136G1CJ6	13-0093	Federal National Mortgage Assc		02/21/2013	2,000,000.00	1,965,040.00	2,000,000.00	0.750		1.094	1,239	02/21/2018
3136G1DA4	13-0094	Federal National Mortgage Assc		02/28/2013	2,000,000.00	1,991,800.00	2,000,000.00	1.000		1.171	1,246	02/28/2018
3130A12D9	14-0045	Federal Home Loan Bank		03/20/2014	1,000,000.00	999,180.00	1,000,000.00	1.000		1.493	1,266	03/20/2018
3136G1LV9	13-0110	Federal National Mortgage Assc		05/29/2013	1,000,000.00	990,050.00	1,000,000.00	0.700		1.077	1,336	05/29/2018
3136G1MR7	14-0043	Federal National Mortgage Assc		03/03/2014	2,000,000.00	1,990,340.00	2,000,000.00	0.700		1.411	1,342	06/04/2018
3133836N6	13-0114	Federal Home Loan Bank		06/12/2013	2,000,000.00	1,983,180.00	2,000,000.00	0.800		1.119	1,350	06/12/2018
3136G1MY2	13-0118	Federal National Mortgage Assc		06/13/2013	2,000,000.00	1,979,020.00	2,000,000.00	0.800		1.115	1,351	06/13/2018
313383EM9	13-0125	Federal Home Loan Bank		06/20/2013	1,000,000.00	986,300.00	1,000,000.00	0.900		1.165	1,358	06/20/2018
313383J44	13-0130	Federal Home Loan Bank		06/26/2013	1,000,000.00	999,590.00	1,000,000.00	0.750		1.342	1,364	06/26/2018
313383J28	13-0136	Federal Home Loan Bank		06/27/2013	2,000,000.00	1,998,320.00	2,000,000.00	1.000		1.491	1,365	06/27/2018
3130A0ZV5	14-0047	Federal Home Loan Bank		03/20/2014	2,000,000.00	1,996,420.00	2,000,000.00	1.500		2.007	1,631	03/20/2019
3130A12M9	15-0016	Federal Home Loan Bank		08/22/2014	1,000,000.00	995,670.00	1,000,000.00	1.000		2.277	1,631	03/20/2019
3130A1AY4	14-0059	Federal Home Loan Bank		03/27/2014	1,000,000.00	997,940.00	1,000,000.00	1.500		2.056	1,638	03/27/2019
3130A16Q6	14-0061	Federal Home Loan Bank		03/27/2014	1,000,000.00	998,281.25	1,000,000.00	1.500		2.007	1,638	03/27/2019
3130A2H41	15-0001	Federal Home Loan Bank		07/09/2014	2,100,000.00	2,084,880.00	2,099,198.35	1.375		1.406	1,729	06/26/2019
3130A2LP9	15-0004	Federal Home Loan Bank		07/30/2014	1,000,000.00	994,890.00	1,000,000.00	1.375		1.375	1,763	07/30/2019
3130A2MA1	15-0005	Federal Home Loan Bank		07/30/2014	1,000,000.00	999,170.00	1,000,000.00	1.250		1.250	1,763	07/30/2019
3130A2M86	15-0008	Federal Home Loan Bank		07/30/2014	1,000,000.00	996,020.00	999,669.44	1.500		1.520	1,763	07/30/2019
3130A2UJ3	15-0019	Federal Home Loan Bank		08/28/2014	2,000,000.00	1,995,820.00	2,000,000.00	1.000		1.000	1,792	08/28/2019
3134G5H99	15-0021	Federal Home Loan Mort Corp		09/18/2014	1,000,000.00	995,625.00	1,000,000.00	1.750		1.750	1,813	09/18/2019
<b>Subtotal and Average</b>			<b>32,502,981.62</b>		<b>34,100,000.00</b>	<b>33,913,556.25</b>	<b>34,098,867.79</b>			<b>1.347</b>	<b>1,452</b>	
<b>Medium Term Notes</b>												
89233P5Z5	12-0110	Toyota Motor Credit		02/17/2012	2,000,000.00	2,004,840.00	1,999,622.22	1.000		1.051	139	02/17/2015
63254AAA6	13-0009	National Australia Bank Lt		08/21/2012	1,000,000.00	1,007,690.00	1,003,228.85	2.000	AA	1.250	159	03/09/2015
149123BY6	12-0169	Caterpillar Inc		06/26/2012	1,000,000.00	1,004,180.00	999,948.47	0.950		0.957	268	06/26/2015

**Oceanside Quarterly Report  
Portfolio Management  
Portfolio Details - Investments  
September 30, 2014**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM	Days to Maturity	Maturity Date
<b>Medium Term Notes</b>												
369604BE2	13-0034	General Electric		10/09/2012	1,250,000.00	1,255,412.50	1,251,601.48	0.850	AA	0.723	373	10/09/2015
58933YAD7	13-0103	Merck & Co Inc. Pharmaceutical		05/21/2013	2,000,000.00	2,003,060.00	2,003,161.19	0.700	AA	0.602	595	05/18/2016
38259PAC6	14-0039	Google Inc.		02/11/2014	1,000,000.00	1,024,130.00	1,026,682.84	2.125		0.480	596	05/19/2016
459200GX3	13-0120	International Business Machine		05/23/2013	2,150,000.00	2,194,440.50	2,196,794.27	1.950	AA	0.730	660	07/22/2016
191216AU4	13-0062	Coca-Cola Compay		12/14/2012	1,500,000.00	1,529,610.00	1,530,910.66	1.800	AA	0.709	701	09/01/2016
C3331CDA9	14-0051	Bank of Montreal		03/06/2014	2,000,000.00	2,039,600.00	2,055,515.44	1.950		0.744	852	01/30/2017
064159EK8	14-0072	Bank of Nova Scotia		05/12/2014	1,000,000.00	998,420.00	1,003,296.47	1.250		1.117	923	04/11/2017
037833AM2	14-0076	Apple Inc.		05/30/2014	1,000,000.00	996,600.00	1,003,036.61	1.050	AA	0.931	947	05/05/2017
02665WAF8	15-0002	American Honda Finance Corp		07/15/2014	1,500,000.00	1,494,330.00	1,499,790.85	1.200		1.205	1,017	07/14/2017
<b>Subtotal and Average</b>			<b>17,357,030.30</b>		<b>17,400,000.00</b>	<b>17,552,313.00</b>	<b>17,573,589.35</b>			<b>0.853</b>	<b>606</b>	
<b>Municipal Bonds</b>												
13066KL23	12-0022	California St Dept of Wtr Reso		10/13/2011	1,000,000.00	1,004,670.00	1,003,494.15	3.000	AAA	0.870	61	12/01/2014
541498CK5	13-0108	Loma Prieta CA JT Elem Sch Dis		05/28/2013	180,000.00	180,221.40	180,000.00	0.540	AA	0.540	304	08/01/2015
650264SY1	13-0055	Newark Unified School District		12/20/2012	570,000.00	571,214.10	570,000.00	0.990		0.990	304	08/01/2015
13066KL31	12-0021	California St Dept of Wtr Reso		10/13/2011	1,000,000.00	1,032,730.00	1,020,661.29	3.000	AAA	1.180	426	12/01/2015
20772JKJ0	13-0095	State of Connecticut		03/05/2013	2,000,000.00	2,008,580.00	2,013,336.22	1.032	AA	0.700	745	10/15/2016
73358WKH6	13-0066	Port Authority of NY & NJ		12/21/2012	2,000,000.00	1,988,080.00	1,993,639.15	0.850		1.000	792	12/01/2016
<b>Subtotal and Average</b>			<b>8,732,533.63</b>		<b>6,750,000.00</b>	<b>6,785,495.50</b>	<b>6,781,130.81</b>			<b>0.906</b>	<b>561</b>	
<b>Total and Average</b>			<b>280,787,250.73</b>		<b>274,470,000.00</b>	<b>273,133,915.13</b>	<b>274,618,302.40</b>			<b>1.034</b>	<b>1,068</b>	

**Oceanside Quarterly Report  
Portfolio Management  
Portfolio Details - Cash  
September 30, 2014**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM	Days to Maturity
		<b>Average Balance</b>	<b>0.00</b>	Accrued Interest at Purchase		3,713.72	3,713.72				<b>0</b>
				Subtotal		3,713.72	3,713.72				
		<b>Total Cash and Investments</b>	<b>280,787,250.73</b>		<b>274,470,000.00</b>	<b>273,137,628.85</b>	<b>274,622,016.12</b>			<b>1.034</b>	<b>1,068</b>

# CITY OF OCEANSIDE



## INVESTMENT TRANSACTIONS

*7/1/14 THROUGH 9/30/14*

**Oceanside Quarterly Report  
Purchases Report  
Sorted by Fund - Fund  
July 1, 2014 - September 30, 2014**

CUSIP	Investment #	Fund	Sec. Type	Issuer	Original Par Value	Purchase Date	Payment Periods	Principal Purchased	Accrued Interest at Purchase	Rate at Purchase	Maturity Date	YTM	Ending Book Value
<b>Investment Clearing</b>													
3130A2H41	15-0001	102	MC1	FHLB	2,100,000.00	07/09/2014	12/26 - 06/26	2,098,425.00	721.88	1.375	06/26/2019	1.406	2,099,198.35
02665WAF8	15-0002	102	MTN	HNDA	1,500,000.00	07/15/2014	01/14 - 07/14	1,499,775.00		1.200	07/14/2017	1.205	1,499,790.85
3130A2KB1	15-0003	102	FAC	FHLB	2,000,000.00	07/16/2014	01/16 - 07/16	2,000,000.00		1.350	01/16/2018	1.350	2,000,000.00
3133EDQZ8	15-0006	102	FAC	FFCB	1,000,000.00	07/25/2014	01/23 - 07/23	999,350.00	84.44	1.520	07/23/2018	1.537	999,469.83
3134G5DK8	15-0007	102	FAC	FHLMC	1,000,000.00	07/28/2014	10/28 - Quarterly	1,000,000.00		1.000	07/28/2017	1.000	1,000,000.00
3130A2LP9	15-0004	102	MC1	FHLB	1,000,000.00	07/30/2014	01/30 - 07/30	1,000,000.00		1.375	07/30/2019	1.375	1,000,000.00
3130A2MA1	15-0005	102	MC1	FHLB	1,000,000.00	07/30/2014	01/30 - 07/30	1,000,000.00		1.250	07/30/2019	1.250	1,000,000.00
3130A2M86	15-0008	102	MC1	FHLB	1,000,000.00	07/30/2014	01/30 - 07/30	999,500.00		1.500	07/30/2019	1.520	999,669.44
912828WW6	15-0009	102	TRC	INDJAP	2,000,000.00	07/31/2014	08/05 - Final Pmt.	1,991,410.20		1.625	07/31/2019	1.715	0.00
3136G23B1	15-0010	102	FAC	FNMA	1,250,000.00	08/15/2014	02/15 - 08/15	1,250,000.00		1.300	02/15/2018	1.300	1,250,000.00
3136G22Z9	15-0011	102	FAC	FNMA	1,000,000.00	08/15/2014	02/15 - 08/15	1,000,000.00		1.500	08/15/2018	1.500	1,000,000.00
3136G23H8	15-0012	102	FAC	FNMA	1,000,000.00	08/15/2014	11/15 - 05/15	1,000,000.00		1.600	11/15/2018	1.600	1,000,000.00
3134G5EZ4	15-0013	102	FAC	FHLMC	2,000,000.00	08/20/2014	02/20 - 08/20	2,000,000.00		1.400	02/20/2018	1.400	2,000,000.00
3130A12M9	15-0016	102	MC1	FHLB	1,000,000.00	08/22/2014	09/20 - 03/20	1,000,000.00	Received	1.000	03/20/2019	2.277	1,000,000.00
3136G23N5	15-0014	102	FAC	FNMA	2,000,000.00	08/22/2014	11/22 - 05/22	2,000,000.00		1.200	11/22/2017	1.200	2,000,000.00
3136G24A2	15-0015	102	FAC	FNMA	2,000,000.00	08/26/2014	02/26 - 08/26	2,000,000.00		2.000	08/26/2019	2.000	2,000,000.00
3133EDTQ5	15-0017	102	FAC	FFCB	1,000,000.00	08/27/2014	02/27 - 08/27	999,500.00		1.590	08/27/2018	1.603	999,547.22
3133EDTR3	15-0018	102	FAC	FFCB	1,000,000.00	08/28/2014	02/28 - 08/28	999,500.00		1.090	08/28/2017	1.107	999,545.83
3130A2UJ3	15-0019	102	MC1	FHLB	2,000,000.00	08/28/2014	02/28 - 08/28	2,000,000.00		1.000	08/28/2019	1.000	2,000,000.00
912828D80	15-0020	102	TRC	TN	2,000,000.00	09/11/2014	10/02 - Final Pmt.	1,985,312.50	987.57	1.625	08/31/2019	1.780	1,985,474.35
3134G5H99	15-0021	102	MC1	FHLMC	1,000,000.00	09/18/2014	03/18 - 09/18	1,000,000.00		1.750	09/18/2019	1.750	1,000,000.00
3136G25T0	15-0023	102	FAC	FNMA	1,000,000.00	09/18/2014	03/18 - 09/18	1,000,000.00		1.150	09/18/2017	1.150	1,000,000.00
3136G26E2	15-0022	102	FAC	FNMA	1,000,000.00	09/23/2014	03/23 - 09/23	1,000,000.00		1.400	03/23/2018	1.400	1,000,000.00
<b>Subtotal</b>					<b>31,850,000.00</b>			<b>31,822,772.70</b>	<b>1,793.89</b>				<b>29,832,695.87</b>
<b>Total Purchases</b>					<b>31,850,000.00</b>			<b>31,822,772.70</b>	<b>1,793.89</b>				<b>29,832,695.87</b>

Received = Accrued Interest at Purchase was received by report ending date.

**Oceanside Quarterly Report  
Sales/Call Report  
Sorted by Maturity Date - Fund  
July 1, 2014 - September 30, 2014**

CUSIP	Investment #	Fund	Issuer Sec. Type	Purchase Date	Redem. Date Matur. Date	Par Value	Rate at Redem.	Book Value at Redem.	Redemption Principal	Redemption Interest	Total Amount	Net Income
<b>03/10/2017</b>												
3134G4FD5	14-0005	102	FHLMC FAC	09/13/2013	09/10/2014 03/10/2017	1,180,000.00	1.125	1,180,000.00	1,180,000.00	6,637.50	1,186,637.50 Call	6,637.50
<b>Subtotal</b>						<b>1,180,000.00</b>		<b>1,180,000.00</b>	<b>1,180,000.00</b>	<b>6,637.50</b>	<b>1,186,637.50</b>	<b>6,637.50</b>
<b>05/19/2017</b>												
3130A0TR1	14-0038	102	FHLB FAC	02/19/2014	08/19/2014 05/19/2017	1,000,000.00	1.000	1,000,000.00	1,000,000.00	5,000.00	1,005,000.00 Call	5,000.00
<b>Subtotal</b>						<b>1,000,000.00</b>		<b>1,000,000.00</b>	<b>1,000,000.00</b>	<b>5,000.00</b>	<b>1,005,000.00</b>	<b>5,000.00</b>
<b>07/17/2017</b>												
3134G4SQ2	14-0029	102	FHLMC FAC	01/17/2014	07/17/2014 07/17/2017	1,000,000.00	1.250	1,000,000.00	1,000,000.00	6,250.00	1,006,250.00 Call	6,250.00
3134G4SQ2	14-0030	102	FHLMC FAC	01/17/2014	07/17/2014 07/17/2017	1,000,000.00	1.250	1,000,000.00	1,000,000.00	6,250.00	1,006,250.00 Call	6,250.00
3134G4SQ2	14-0031	102	FHLMC FAC	01/17/2014	07/17/2014 07/17/2017	1,000,000.00	1.250	1,000,000.00	1,000,000.00	6,250.00	1,006,250.00 Call	6,250.00
<b>Subtotal</b>						<b>3,000,000.00</b>		<b>3,000,000.00</b>	<b>3,000,000.00</b>	<b>18,750.00</b>	<b>3,018,750.00</b>	<b>18,750.00</b>
<b>09/12/2017</b>												
3136G0ZM6	13-0012	102	FNMA MC1	09/12/2012	09/12/2014 09/12/2017	2,000,000.00	0.750V	2,000,000.00	2,000,000.00	7,500.00	2,007,500.00 Call	7,500.00
<b>Subtotal</b>						<b>2,000,000.00</b>		<b>2,000,000.00</b>	<b>2,000,000.00</b>	<b>7,500.00</b>	<b>2,007,500.00</b>	<b>7,500.00</b>
<b>10/17/2017</b>												
3130A1J41	14-0068	102	FHLB FAC	04/17/2014	07/17/2014 10/17/2017	1,000,000.00	1.375	999,767.86	1,000,000.00	3,437.50	1,003,437.50 Call	3,669.64
<b>Subtotal</b>						<b>1,000,000.00</b>		<b>999,767.86</b>	<b>1,000,000.00</b>	<b>3,437.50</b>	<b>1,003,437.50</b>	<b>3,669.64</b>
<b>10/25/2017</b>												
3130A1K80	14-0070	102	FHLB FAC	04/25/2014	07/25/2014 10/25/2017	1,000,000.00	1.375	1,000,000.00	1,000,000.00	3,437.50	1,003,437.50 Call	3,437.50
<b>Subtotal</b>						<b>1,000,000.00</b>		<b>1,000,000.00</b>	<b>1,000,000.00</b>	<b>3,437.50</b>	<b>1,003,437.50</b>	<b>3,437.50</b>
<b>05/28/2019</b>												
3130A1WJ3	14-0071	102	FHLB MC1	05/28/2014	08/28/2014 05/28/2019	1,000,000.00	1.000V	1,000,000.00	1,000,000.00	2,500.00	1,002,500.00 Call	2,500.00
<b>Subtotal</b>						<b>1,000,000.00</b>		<b>1,000,000.00</b>	<b>1,000,000.00</b>	<b>2,500.00</b>	<b>1,002,500.00</b>	<b>2,500.00</b>

V - Security with variable rate change.

Data Updated: SET\_QTR: 10/13/2014 11:54

Run Date: 10/13/2014 - 11:54

No fiscal year history available

Portfolio POOL

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SA (PRF\_SA) 7.1.1

Report Ver. 7.3.2

Oceanside Quarterly Report  
Sales/Call Report  
July 1, 2014 - September 30, 2014

CUSIP	Investment #	Fund	Issuer Sec. Type	Purchase Date	Redem. Date Matur. Date	Par Value	Rate at Redem.	Book Value at Redem.	Redemption Principal	Redemption Interest	Total Amount	Net Income
<b>07/31/2019</b>												
912828WW6	15-0009	102	INDJAP TRC	07/31/2014	08/05/2014 07/31/2019	2,000,000.00	1.625	1,991,433.72	1,996,250.00	441.58	1,996,691.58	5,257.86
											Sale	
					<b>Subtotal</b>	<b>2,000,000.00</b>		<b>1,991,433.72</b>	<b>1,996,250.00</b>	<b>441.58</b>	<b>1,996,691.58</b>	<b>5,257.86</b>
				<b>Total Sales</b>		<b>12,180,000.00</b>		<b>12,171,201.58</b>	<b>12,176,250.00</b>	<b>47,704.08</b>	<b>12,223,954.08</b>	<b>52,752.50</b>

**Oceanside Quarterly Report**  
**Maturity Report**  
**Sorted by Maturity Date**  
**Amounts due during July 1, 2014 - September 30, 2014**

CUSIP	Investment #	Fund	Sec. Type	Issuer	Par Value	Maturity Date	Purchase Date	Rate at Maturity	Book Value at Maturity	Interest	Maturity Proceeds	Net Income
399262HN7	12-0044	102	MUN	GROSSM	1,000,000.00	08/01/2014	11/22/2011	1.427	1,000,000.00	7,135.00	1,007,135.00	7,135.00
492246ME0	11-0142	102	MUN	KERSCD	2,070,000.00	08/01/2014	06/29/2011	1.984	2,070,000.00	20,534.40	2,090,534.40	20,534.40
541498CJ8	13-0107	102	MUN	LPTSCD	170,000.00	08/01/2014	05/28/2013	0.340	170,000.00	289.00	170,289.00	289.00
675371AJ7	12-0075	102	MUN	OSIDE	1,745,000.00	08/15/2014	12/21/2011	4.830	1,745,000.00	42,141.75	1,787,141.75	42,141.75
<b>Total Maturities</b>					<b>4,985,000.00</b>				<b>4,985,000.00</b>	<b>70,100.15</b>	<b>5,055,100.15</b>	<b>70,100.15</b>

# CITY OF OCEANSIDE



## LAIF ACTIVITY GRAPHS

*AS OF SEPTEMBER 30, 2014*

# Oceanside Quarterly Report

## Cash/Checking Activity

### July 1, 2014 - September 30, 2014

Issuer	Investment #	Fund	Security ID	Current Transaction Rate	Date Receipt	Deposits	Withdrawals	Interest Received	Balance
<b>LAIF</b>									
<b>Local Agency Investment Fund</b>									
	<b>18000</b>		SYS18000						<b>30,000,000.00</b>
				0.228	07/01/2014				30,000,000.00
				0.228	07/01/2014	750,000.00			30,750,000.00
				0.228	07/03/2014		750,000.00		30,000,000.00
				0.228	07/07/2014	1,000,000.00			31,000,000.00
				0.228	07/09/2014		500,000.00		30,500,000.00
				0.228	07/11/2014		2,250,000.00		28,250,000.00
				0.228	07/15/2014		4,500,000.00		23,750,000.00
				0.228	07/15/2014			12,057.75	23,750,000.00
				0.228	07/16/2014		2,000,000.00		21,750,000.00
				0.228	07/17/2014	3,750,000.00			25,500,000.00
				0.228	07/18/2014	250,000.00			25,750,000.00
				0.228	07/23/2014	1,500,000.00			27,250,000.00
				0.228	07/25/2014		2,500,000.00		24,750,000.00
				0.228	07/28/2014		1,250,000.00		23,500,000.00
				0.228	07/30/2014		1,750,000.00		21,750,000.00
				0.228	07/31/2014		7,750,000.00		14,000,000.00
				0.244	07/31/2014				14,000,000.00
				0.244	08/01/2014	2,750,000.00			16,750,000.00
				0.244	08/04/2014	1,250,000.00			18,000,000.00
				0.244	08/05/2014	2,500,000.00			20,500,000.00
				0.244	08/13/2014	1,500,000.00			22,000,000.00
				0.244	08/15/2014		1,000,000.00		21,000,000.00
				0.244	08/18/2014		2,000,000.00		19,000,000.00
				0.244	08/19/2014	1,000,000.00			20,000,000.00
				0.244	08/20/2014		750,000.00		19,250,000.00
				0.244	08/22/2014		5,000,000.00		14,250,000.00
				0.244	08/26/2014		1,750,000.00		12,500,000.00
				0.244	08/28/2014		2,250,000.00		10,250,000.00
				0.260	08/29/2014				10,250,000.00
				0.260	09/02/2014	1,000,000.00			11,250,000.00
				0.260	09/03/2014		500,000.00		10,750,000.00
				0.260	09/05/2014		1,000,000.00		9,750,000.00
				0.260	09/08/2014	1,250,000.00			11,000,000.00
				0.260	09/10/2014	1,500,000.00			12,500,000.00
				0.260	09/11/2014		1,750,000.00		10,750,000.00

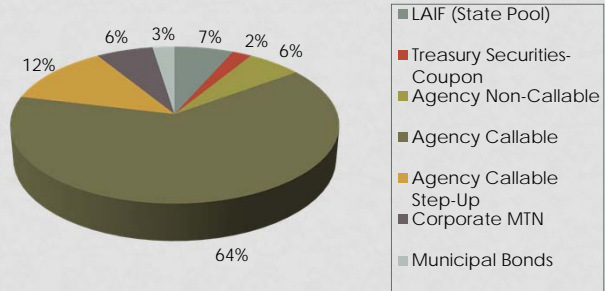
**Oceanside Quarterly Report  
Cash/Checking Activity  
July 1, 2014 - September 30, 2014**

Issuer	Investment #	Fund	Security ID	Current Transaction Rate	Date Receipt	Deposits	Withdrawals	Interest Received	Balance
<b>Local Agency Investment Fund</b>									
	<b>18000</b>		SYS18000						<b>10,750,000.00</b>
				0.260	09/12/2014	1,750,000.00			12,500,000.00
				0.260	09/16/2014		2,000,000.00		10,500,000.00
				0.260	09/18/2014		1,000,000.00		9,500,000.00
				0.260	09/19/2014		2,250,000.00		7,250,000.00
				0.260	09/23/2014		750,000.00		6,500,000.00
				0.260	09/25/2014	750,000.00			7,250,000.00
				0.260	09/26/2014	750,000.00			8,000,000.00
				0.246	09/30/2014				8,000,000.00
	<b>Subtotal and Ending Balance</b>			<b>0.246</b>		<b>23,250,000.00</b>	<b>45,250,000.00</b>	<b>12,057.75</b>	<b>8,000,000.00</b>
	<b>18001</b>		SYS18001						<b>16,500,000.00</b>
				0.228	07/01/2014				16,500,000.00
				0.228	07/15/2014			8,586.05	16,500,000.00
				0.244	07/31/2014				16,500,000.00
				0.244	08/29/2014		6,250,000.00		10,250,000.00
				0.260	08/29/2014				10,250,000.00
				0.246	09/30/2014				10,250,000.00
	<b>Subtotal and Ending Balance</b>			<b>0.246</b>		<b>0.00</b>	<b>6,250,000.00</b>	<b>8,586.05</b>	<b>10,250,000.00</b>
<b>Total</b>						<b>23,250,000.00</b>	<b>51,500,000.00</b>	<b>20,643.80</b>	<b>18,250,000.00</b>

### BREAKDOWN OF INVENTORY BY TYPE

(as a percent of portfolio)

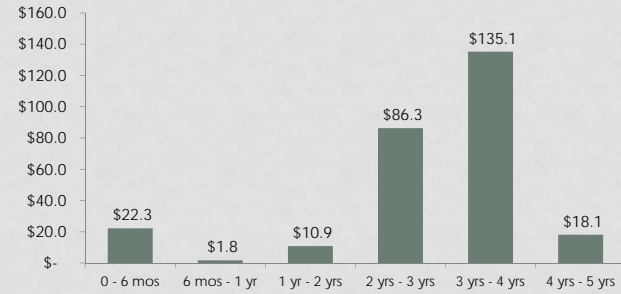
September 2014



Graph 1

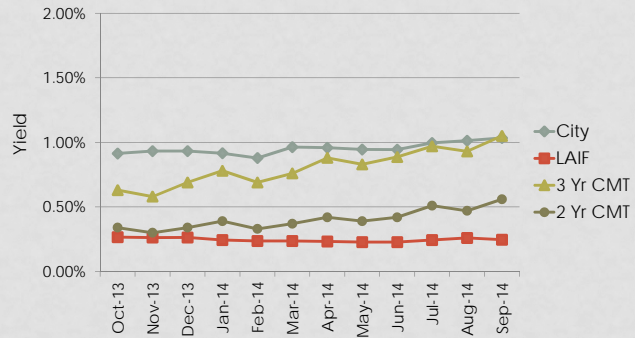
### AGING OF MATURING INVESTMENTS

September 2014



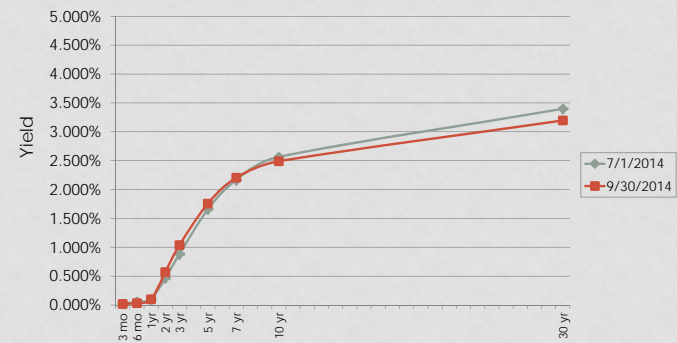
Graph 2

### INVESTMENT PORTFOLIO YIELD COMPARISON CITY, LAIF, 2 & 3 YEAR CMT



Graph 3

### HISTORICAL YIELD CURVE COMPARISON 7/1/14 to 9/30/14



Graph 4

# CITY OF OCEANSIDE



## FUNDS HELD BY OUTSIDE MANAGEMENT

*AS OF SEPTEMBER 30, 2014*

**CITY OF OCEANSIDE  
FUNDS HELD BY OUTSIDE MANAGEMENT  
AS OF SEPTEMBER 30, 2014**

<b>TYPE OF FUND/INSTITUTION</b>	<b>MARKET VALUE</b>	<b>INSTITUTION TOTAL</b>
<b><u>BOND FUNDS</u></b>		
<b>Bank of New York</b>		
2002/2003 Tax Allocation Bonds	3,240,640.00	
2002 Community Facilities District Bonds - Morro Hills Development	103,112.33	
2004 Community Facilities District Bonds - Morro Hills Development Imp#1	695.01	
2005 Pension Obligation Bonds	875,502.75	
2008 Community Facilities District Bonds-Pacific Coast Business Park	951,073.77	
2013 Certificates of Participation (2003 Refunding)	675,117.64	
2013 Sewer Revenue Refunding Bonds	1,000,225.64	
2013 Water Revenue Refunding Bonds	11,178,503.08	
2013A Community Facilities District Bonds - Morro Hills Development	542,181.55	
2013 Community Facilities District Bonds - Ocean Ranch Corporate Centre	1,144,432.80	
2013 Harbor Revenue Refunding Bonds	-	
2014A Community Facility District Bonds - Morro Hills Development Imp Area #1	429,801.50	
2014A Community Facility District Bonds - Morro Hills Development	713,619.59	
2014 Community Facilities District Bonds - Ocean Ranch Corporate Centre	478,265.55	
	<b><u>21,333,171.21</u></b>	
<b>Deutsche Bank</b>		
2013 Street Light Retrofit Lease Escrow Fund	2,270,622.22	
<b>TOTAL FUNDS MANAGED BY OUTSIDE PARTIES</b>	<b><u><u>\$ 23,603,793.43</u></u></b>	